

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: David S. MILLER

Application No.: 09/803,667

Confirmation No.: 9714

Filed: March 12, 2001

Art Unit: 2163

Examiner: Not Yet Assigned

Attorney Docket No.: 31921-169499



For: FULLY-AUTOMATED SYSTEM
FOR TAX REPORTING,
PAYMENT AND REFUND AND
SYSTEM FOR ACCESSING
TAX INFORMATION

Customer No.:



26694

Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(b). The U.S. Patent and Trademark Office is notified of the documents which are listed on the attached Form PTO-1449. One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits.

Please charge any necessary fee or credit any overpayment in connection with this
Information Disclosure Statement to Deposit Account No. 22-0261.

Dated: June 22, 2001

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael A. Sartori", written over a horizontal line.

Michael A. Sartori, Ph.D.

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Complete if Known

Publication Number	09/803,667
Filing Date	March 12, 2001
First Named Inventor	David S. MILLER
Group Art Unit	2163
Examiner Name	Not Yet Assigned
Attorney Docket Number	31921-169499

(use as many sheets as necessary)

Sheet	1.	of	3
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Attorney Docket Number	31921-169499
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[illegible][illegible]Date
Considered

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 3

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AF	TOM NEGRINO, "Quicken Deluxe 6", MacWorld Reviews, February 1996, pp. 1-3, www.macworld.com/1996/02/reviews/1851.html	
	AG	"Microsoft Online-Banking Strategy Gains Wide Industry Support", May 8, 1996, pp. 1-2, www.microsoft.com/PressPass/press/1996/may96/hmbankpr.asp	
	AH	"Intuit Will Create Comprehensive Framework For Financial Data Exchange Using The Internet", September 16, 1996, pp. 1-4, www.intuit.com/corporate/press_releases/091696b.html	
	AI	"OpenExchange", September 16, 1996, pp. 1-11, www.intuit.com/corporate/press_releases/091696_white_paper.html	
	AJ	"Microsoft Delivers Open Internet Banking Solutions Today", September 30, 1996, pp. 1-4, www.microsoft.com/PressPass/press/1996/sept96/INETBKPR.asp	
	AK	"Microsoft Announces Availability of Active Statement Technology For Microsoft Money 97", November 18, 1996, pp.1-3, www.microsoft.com/PressPass/press/1996/Nov96/money97.asp	
	AL	"Intuit, Microsoft and Checkfree Create Open Financial Exchange", January 16, 1997, pp. 1-6, www.intuit.com/corporate/press_releases/011697.html	
	AM	"Intuit Makes Tax Preparation Software Available Free On The Internet", January 27, 1997, pp.1-2, www.intuit.com/corporate/press_releases/012797.html	
	AN	"Confusing Tax Lingo Delays Americans From Filing", February 3, 1997, pp. 1-2, www.intuit.com/corporate/press_releases/020397.html	
	AO	"Open Financial Exchange Winning Broad Support From Financial Services And Technology Companies", February 19, 1997, pp.1-3, www.intuit.com/corporate/press_releases/021997.html	
	AP	"Open Financial Exchange - About OFX", 1 page, www.ofx.net/ofx/ab_main.asp	

Examiner
Signature

Date
Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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	AQ ¹	"Linking Quicken to TurboTax" (with two pages of Table of Contents), pp. 1-3, www.cma.zdnet.com/book/quicken/ch22/ch22.htm	
	AR	"QIF Definition", pp. 1-6, www.respmech.com/mym2qifw/qif_new.htm	
	AS	"Quicken - Answers", pp. 1-2, www.intuit.com/support/quicken/faqs/win3/5176.html	
	AT	"Quicken Deluxe 98", pp. 1-4, www.mcronline.com/Quicken98.htm	
	AU	"Quicken Deluxe 98: taxes", pp. 1-2, www.cnet.com.sg/Briefs/Comparisons/Money/ss02g.html	
	AV	"Quicken QIF Alert", pp. 1-4, www.fi.inuit.com/technical/qif_fi_alert.cfm	
	AW	"Shortcutz", Intuit Quicken® 99", pp. 1-2, www.educationalmultimedia.com/shortcutz/spreadsheets/quicken99.cfm?1	
	AX		
	AY		
	AZ		
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Examiner Signature		Date Considered	
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